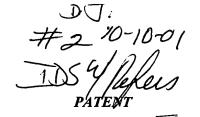
Practitioner's Docket No. 13429-40254



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: James M. Carter

for CARRIER WITH BUILT-IN AMPLIFICATION FOR PERSONAL AUDIO DEVICE, the specification of which is being transmitted herewith.

Assistant Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT

# List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

### CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10\*

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(Information Disclosure Statement--page 1 of 2)

# Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
Is attached hereto.

Section 3. Copies of Listed Information Items Accompanying This Statement
Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

## Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

The practitioner who signs below on the basis of the information supplied by the inventor(s).

Date: 7/01/2001

DeWitt M. Morgan Registration No. 26,488 Rodey Dickason Sloan Akin & Robb P.O. Box 1888 Albuquerque, NM 87103-1888 505-768-7375

Dow: 1 M. Mesqu

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PTO/SB/08A (08-00)

Approved the through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1
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Complete if Known				
Application Number				
Filing Date		24		
First Named Inventor	James M. Carter	48		
Group Art Unit				
Examiner Name		38		
Attorney Docket Number	13429-40254	70		
		87		

			U.S. PATENT DOCU	MENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document  Kind Code  Number  (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	DES 409378		05/11/1999	
	2	4981243		01/01/1991	
	3	4754901	VILLANUEVA, Xavier, et al.		
	4	5001779	1	03/19/1991	
	5	5771305	DAVIS, Robert A.	06/23/1998	
	6	5353975	LIBERTUCCI, Michael	10/11/1994	
	7	5212734	YE-MING, Tsao	05/18/1993	
	8	DES 426702	YEN, Elton	06/20/2000	
	9	5897042	SIMS, Dianne	04/27/1999	
	10	5898787	STANFORD, Paul F.	04/27/1999	
	11	5409152	TREVINO, Jose	05/05/1994	-
	12	5046104	KLOSS, Henry E.	09/03/1991	
	13	5395023	NAYMARK, Ronald, et al.	03/07/1995	
		-			
					W. F. C. W. C.
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	FOREIGN PATENT DOCUMENTS							
Examiner	xaminer Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.